

BOX PATENT APPLICATION  
ASSISTANT COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

HAND DELIVERED  
**PATENT**  
Case Docket No. 36856.602  
Date: January 23, 2002

Sir:  
Transmitted herewith for filing is the patent application of:

Inventor(s): Hiroki WATANABE

For: SURFACE ACOUSTIC WAVE APPARATUS AND COMMUNICATION UNIT

☐ Applicant(s) claim(s) benefit under 35 U.S.C. § 119(e) of United States provisional application No. \_\_\_\_\_ filed \_\_\_\_\_

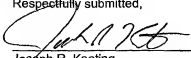
**Enclosed are:**

- ☒ 43 Pages of Specification  
☒ 21 Sheet(s) of drawings (☐ formal ☒ informal)  
☒ Declaration and Power of Attorney ☐ Will follow.  
☒ Form PTO-1595 and an Assignment of the invention to Murata Manufacturing Co., Ltd. of 26-10 Tenjin 2-chome, Nagaokakyo-shi, Kyoto-fu 617-8555, JAPAN ☐ Will follow  
☐ A certified copy of Japanese Patent Appln. No. 2001-067676, filed on March 09, 2001, from which priority is claimed in the subject case pursuant to Rule 55b and 35 U.S.C. 119. ☒ Will follow  
☐ A verified statement to establish small entity status under 37 CFR 1.9 and 37 CFR 1.27.  
☒ Information Disclosure Statement, Form PTO 1449, and 2 cited reference(s).  
☐ Change of Correspondence Address  
☐ Preliminary Amendment  
☐ General authorization/request to Petition for Extensions of Time  
☒ Return Postcard

FOR:	NO. FILED	NO. EXTRA	SMALL ENTITY RATE	SMALL ENTITY FEE		OTHER THAN SMALL ENTITY RATE	OTHER THAN SMALL ENTITY FEE
BASIC FEE	20	-20=	-0-	X 9	\$370.00	X 18	\$740.00
TOTAL CLAIMS	2	-3=	-0-	X 40	\$	X 84	\$-0-
INDEP CLAIMS				X+ 130	\$	+ 260	\$-0-
MULTIPLE				TOTAL =		TOTAL:	\$740.00
DEP CLAIMS PRESENTED							

- ☐ Please charge my Deposit Account No. 50-1353 the amount of \$ \_\_\_\_\_. A duplicate copy of this sheet is enclosed.  
☐ Check in the amount of \$ \_\_\_\_\_ to cover the filing fee is enclosed.  
☒ Please charge my Credit Card the amount of \$740.00. Please see the enclosed Credit Card Payment Form (PTO-2038)  
☒ Credit Card Payment Form for \$40.00 covering the Assignment Recordation Fee is enclosed.  
☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-1353. A duplicate copy of this sheet is enclosed.  
☒ Any additional filing fees required under 37 CFR 1.16.  
☒ Any patent application processing fees under 37 CFR 1.17.  
☐ The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. 50-1353. A duplicate copy of this sheet is enclosed.  
☐ Any patent application processing fees under 37 CFR 1.17.  
☐ The issue fee set in 37 CFR 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 CFR 1.311(b).  
☐ Any filing fees under CFR 1.16 for presentation or extra claims.

Respectfully submitted,

  
Joseph R. Keating  
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01/23/02  
J0931 U.S. PTO

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Hiroki WATANABE

**For: SURFACE ACOUSTIC WAVE APPARATUS AND COMMUNICATION UNIT****CERTIFICATE OF HAND DELIVERY**

BOX PATENT APPLICATION  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Date of Deposit: January 23, 2002

I hereby certify that an application for patent, including:

One-page cover sheet; 43 pages of Specification (which includes 20 claims and a one-page Abstract); 21 Sheets of informal Drawings; an executed Combined Declaration and Power of Attorney; a General Authorization/Request to Petition for Extensions of Time; a Credit Card Payment Form (PTO-2038) authorizing a charge to my credit card in the amount of \$740.00 to cover the filing fee; an executed Assignment document and Form PTO 1595, along with a Credit Card Payment Form (PTO-2038) authorizing a charge to my credit card in the amount of \$40.00 to cover the assignment recordation fee; an Information Disclosure Statement including 2 references; a Transmittal Letter (Form PTO 1082); and Return Postcard are being deposited with the U.S. Patent Office at the Customer Service Window of the U.S. Patent Office on the date indicated above and is addressed to the Assistant Commissioner for Patents, Box Patent Application, Washington, D.C. 20231.

Date of Deposit: January 23, 2002

  
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Joseph R. Keating

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